



BLOCK TRANSFER FORM

This application form is used to provide further details of any transfer payment that is part of a block transfer to an individual, group or company pension plan with Royal London.

You should complete this form if you have any of the following plans:

- Individual Pension Plan (Stakeholder Plans)
- Pension Portfolio Plan (Personal Pension, Core Investments for Self Invested Personal Pension & Income Release Plan)
- Retirement Solutions Plan (Group Personal Pension Plan, Group Stakeholder Pension Plan or Company Pension Plan)
- Talisman Pension Plan

1 Important information

Please read this section carefully before completing this application form.

- Please use BLOCK CAPITALS and black ink when completing this form.
- You should only complete this form if you are making a transfer payment to an individual, group or company pension plan with Royal London that is part of a block transfer as defined in paragraph 22(6) of Schedule 36 of the Finance Act 2004 (as amended).
- You should answer each question fully and accurately with your financial adviser. You should remember that your adviser is acting on your behalf not only by providing you with advice, but also completing this form.
- Your completed form should be sent to us at **PO Box 296, Wilmslow, Cheshire, SK9 1WJ**.

2 Personal information

This section should be completed by all applicants.

Name

Plan number (if known)

National Insurance number

3 Protected pension age

This section should be completed if you have a protected pension age.

Is this transfer part of a block transfer in terms of paragraph 22(6) of Schedule 36 of the Finance Act 2004 (as amended)?

Yes No

If 'No', retirement savings cannot be taken before age 55.

If 'Yes', complete Part B if retirement savings are being transferred from a personal pension, stakeholder pension or a retirement annuity contract, otherwise complete Part A.

Part A:

(1) In what type of scheme were the protected pre 6 April 2006 (A-Day) rights (i.e. protected pension age of less than 55) acquired? Please tick **one** box only.

- (i) A tax approved retirement benefits scheme
- (ii) A deferred annuity contract
- (iii) A former approved superannuation fund
- (iv) A statutory pension scheme
- (v) A Parliamentary pension scheme

If protected pre 6 April 2006 rights were acquired in any other type of scheme than those listed above (or those in Part B on the next page), retirement savings are not entitled to be taken before age 55.

(2) Was there a right under the rules of the transferring scheme to take retirement savings under that scheme before age 55 on 5 April 2006?

Yes No

Please specify the age at which that right allows retirement savings to be taken.

(3) Was that right unqualified (i.e. could retirement savings be taken at that age without seeking the consent of any other person)?

Yes No

(4) Did the rules of that scheme allow retirement savings to be taken before age 55 on 10 December 2003?

Yes No

(5) Did the rules of the scheme give you the right to take retirement savings before age 55 on 10 December 2003, or did you acquire such a right upon joining that scheme at a later date?

Yes No

If all four of the previous questions (2-5) have been answered 'Yes', retirement savings are entitled to be taken before age 55.

If any of the previous questions (2-5) has been answered 'No', retirement savings are not entitled to be taken before age 55.

3 Protected pension age continued

Part B:

(1) In what type of scheme were the protected pre 6 April 2006 (A-Day) rights (i.e. protected pension age of less than 50) acquired? Please tick **one** box only.

- (i) A tax approved personal pension scheme (including stakeholder schemes)
- (ii) A tax approved retirement annuity contract

If protected pre 6 April 2006 rights were acquired in any other type of scheme than those listed above (or those in Part A), then you are not entitled to take retirement benefits before age 55.

(2) Was there a right under the rules of the transferring scheme to take retirement savings under that scheme before age 50 on 5 April 2006? Yes No

Please specify the age at which that right allows retirement savings to be taken.

(3) Was that right unqualified (i.e. could retirement savings be taken at that age without seeking the consent of any other person)? Yes No

(4) Were you in a prescribed occupation (or had you been previously) as at 5 April 2006? Yes No

If all three of the above questions (2-4) have been answered 'Yes', a protected pension age of less than 50 is permitted. Where retirement savings are taken before age 50, your Standard Lifetime Allowance (SLA) may be reduced.

If any of the above three questions (2-4) has been answered 'No', retirement savings are not entitled to be taken before age 55.

4 Tax-free cash protection

This section should be completed if you have tax-free cash protection.

Is this transfer part of a block transfer in terms of paragraph 22(6) of Schedule 36 of the Finance Act 2004 (as amended)? Yes No

If 'No', protection will be lost on transfer and the lump sum entitlement will revert to 25% of the value of your plan when you take your retirement savings. This is subject to an overall maximum of 25% of the SLA for the tax year in question.

If Yes, were the uncrystallised lump sum rights in the scheme on 5 April 2006 more than 25% of the value of the uncrystallised pension rights in the scheme on that date? Yes No

If 'No', lump sum protection is not permitted.

If 'Yes', please specify the amount to be protected (i.e. the uncrystallised lump sum rights at 5 April 2006)

Please also specify the value of your retirement savings as at 5 April 2006 (i.e. the uncrystallised pension rights)

Please specify the total amount of all partial transfer values paid out for you on or after 6 April 2006 from the transferring scheme.

5 Privacy notice

This section should be read by all applicants.

We, The Royal London Mutual Insurance Society Limited will use the personal information, including any sensitive personal information, supplied on this form and information we obtain from other sources, such as your financial adviser, your doctor or an identity authentication agency for providing and developing our products and services, customer services, legal and regulatory reasons, marketing, research and analysis and verifying your identity and crime prevention.

We'll retain your personal information which we'll keep for a reasonable period after your plan has ended. In order for us to carry out business effectively information may also be passed to other companies in the Royal London Group, legal and regulatory bodies, auditors, legal and financial advisers, other financial institutions, professional advisers, identity authentication and fraud prevention agencies, underwriters, reinsurers and medical agencies, authorised agents and third party service providers.

We may contact you by mail, phone, fax, email or other electronic messaging with further offers, promotions and information about our products and services that may be of interest to you. By providing us with the information on this form you consent to being contacted by these methods for these purposes. Please tick this box if you do not wish to receive this information.

Your personal data may be processed in countries outside the European Economic Area. This processing will be carried out by experienced and reputable organisations and only on terms which safeguard the security of your data and comply with the requirements of the Data Protection Act 1998.

We may carry out an electronic check to verify your identity. We will use a reputable reference agency who will access a range of data sources including information from the Electoral Register to carry out identity checks. Although we will retain a record of this search, we will not share this information outside of the Royal London Group.

We may monitor and record phone calls and retain these for the purposes of training and quality assurance, and to ensure we have an accurate record of your instructions.

Under the terms of the Data Protection Act 1998, you are entitled to ask for a copy of the information we hold on you, and to have any inaccuracies in your information corrected. We are allowed to charge a fee for this.

If you have any questions about how we will use your personal information please contact the Data Protection Officer, Royal London House, Alderley Road, Wilmslow, Cheshire, SK9 1PF.

6 Declaration

Please read this section carefully and then complete the relevant part.

Part A - Individual planholder

- I confirm that the answers to all the questions on this form are true to the best of my knowledge and belief. I have read over any answers not filled in by me in my own writing and confirm that they are correct.
- If further tax becomes payable because the information I have provided is proven to be incorrect then I agree to pay any tax charge due and any resultant penalty as may be imposed by HM Revenue & Customs, or to reimburse Royal London for any tax charge or penalty paid by them in respect of my plan.

Signature

Date

Part B - Trustee signature (Must be signed for a Retirement Solutions Company Pension Plan).

- As the trustees, we accept the block transfer as detailed in sections 3 and 4 of this application form.

Signed by, or on behalf of, the trustees of the receiving scheme:

Signature

Date



Royal London

1 Thistle Street, Edinburgh EH2 1DG

royallondon.com

All literature about products that carry the Royal London brand is available in large print format on request to the Marketing Department at Royal London,
1 Thistle Street, Edinburgh EH2 1DG.

All of our printed products are produced on stock which is from FSC® certified forests.

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